

# A Unified Theory of Resonance Shifts in Ultrasound Resonance Spectroscopy

Leigh L. Noble, [leigh.noble@usma.edu](mailto:leigh.noble@usma.edu)  
Department of Mathematical Sciences  
United States Military Academy  
West Point, NY 10996

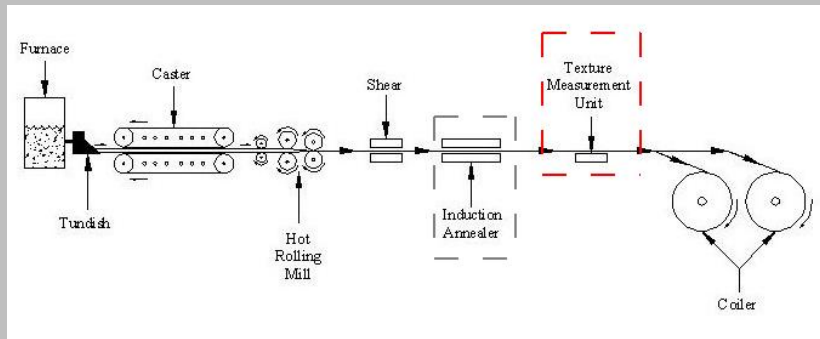
joint work with

Chi-Sing Man, [mclxyh@ms.uky.edu](mailto:mclxyh@ms.uky.edu)  
Department of Mathematics  
University of Kentucky  
Lexington KY 40506

# Basic Focus

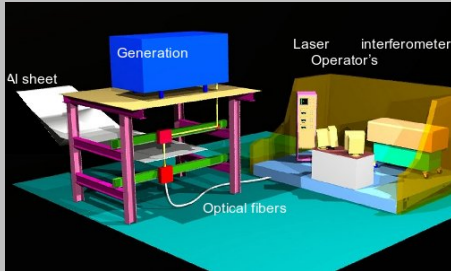
- Rolled metal sheets
- Homogeneous chemical composition
- Aggregates of cubic polycrystals
- Sheets exhibiting orthorhombic symmetry
- Linear elasticity and viscoelasticity

# Motivation

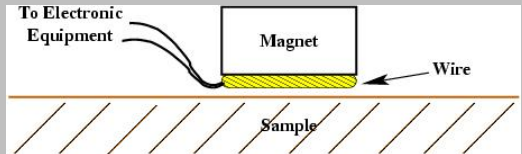


## Manufacturing Scenario

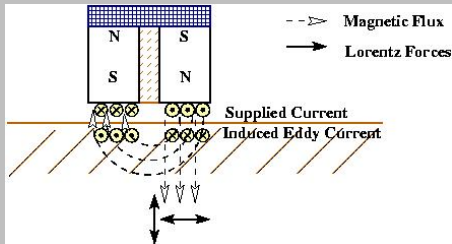
# Ultrasound Resonance Spectroscopy (URS)



Resonances can be produced by Electromagnetic Acoustic Transducers (EMATs) or Lasers



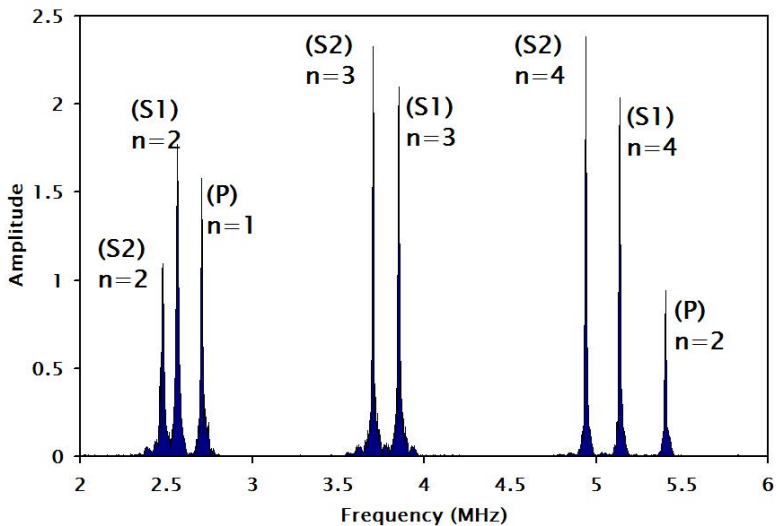
# EMATs



No contact required

## Wave is generated inside material

- 1 Burst of current is used ( $\sim 10\mu s$ )
- 2 Interactions between AC current in coil and magnetic field induce a force which displaces material
- 3 Waves disturb magnetic field inducing current in coil
- 4 Current is measured by equipment



# Isotropic homogeneous elastic material

$$\mathbf{T} = \mathbb{C}[E]$$

$\mathbf{T}$  = Cauchy Stress

$\mathbb{C}$  = 4th order elasticity tensor,  $E$  = infinitesimal strain

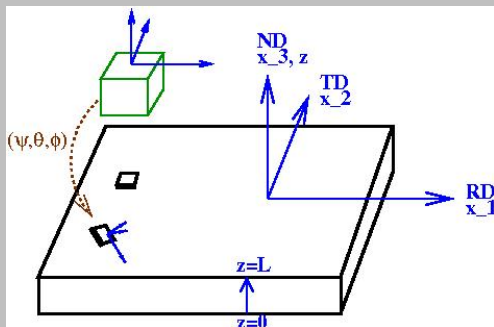
Assume  $\mathbb{C}$  enjoys major and minor symmetries.

$$\mathbb{C}[E] = \lambda \operatorname{tr}(E) \mathbb{I} + 2\mu E$$

where  $\lambda$  and  $\mu$  are the Lamé constants

Furthermore, define  $u_i(z, t) = \frac{1}{\operatorname{area}(D)} \int_D u_i(x_1, x_2, z, t) dA$ .

# Equations of Motion



$$\mathbf{T} = \mathbb{C}[E]$$

$$\rho \mathbf{u}_{tt} = \text{div} \mathbf{T}$$

with initial/boundary values

$$\frac{\partial u_i}{\partial z}(0, t) = \frac{\partial u_i}{\partial z}(L, t) = 0, \quad u_i(z, 0) = g_i(z), \quad \frac{\partial u_i}{\partial t}(z, 0) = v_i(z)$$

# Solutions

## Three equations

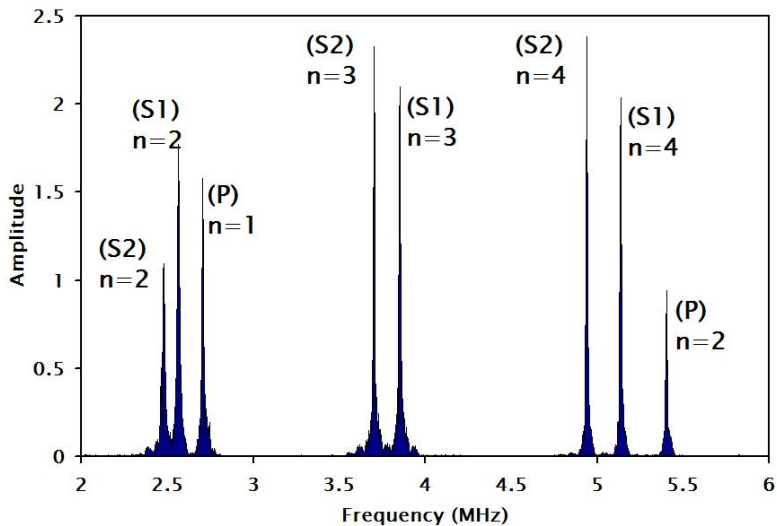
$$\frac{\partial^2 u_j}{\partial t^2} = \frac{\mu}{\rho} \nabla^2 u_j \text{ for } j = 1, 2 \quad \text{and} \quad \frac{\partial^2 u_3}{\partial t^2} = \frac{\lambda + 2\mu}{\rho} \nabla^2 u_3$$

Well-known solutions resulting in resonant frequencies of

$$\omega_n = n \frac{\pi}{L} \sqrt{\frac{C_{\text{iso}}}{\rho}} \text{ where}$$

$C_{\text{iso}}$  is  $\mu$  for case of  $u_1$  and  $u_2$ ,  
and  $C_{\text{iso}} = \lambda + 2\mu$  for case  $u_3$

i.e.,  $f_n = n f_1$



# Isotropic material with internal friction

$$\mathbf{T} = \mathbb{C}[E] + \eta[D]$$

$\mathbf{T}$  = Cauchy Stress

$\mathbb{C}$  = 4th order elasticity tensor,  $E$  = infinitesimal strain

Assume  $\mathbb{C}$  enjoys major and minor symmetries.

$\eta$  = 4th order effective viscosity tensor,  $D$  = stretching tensor

$$\mathbb{C}[E] = \lambda \text{tr}(E) \mathbb{I} + 2\mu E$$

where  $\lambda$  and  $\mu$  are the Lamé constants.

Furthermore, define  $u_i(z, t) = \frac{1}{\text{area}(D)} \int_D u_i(x_1, x_2, z, t) dA$ .

# Equations of Motion

## With Internal Friction

$$\rho \frac{\partial u_i}{\partial t^2} = C_{ijkl} \frac{\partial^2 u_j}{\partial z^2} + \eta_{ijkl} \frac{\partial^3 u_j}{\partial z \partial t^2}$$

with initial/boundary values

$$\frac{\partial u_i}{\partial z}(0, t) = \frac{\partial u_i}{\partial z}(L, t) = 0, \quad u_i(z, 0) = g_i(z), \quad \frac{\partial u_i}{\partial t}(z, 0) = v_i(z)$$

# Solutions

Resonance shift follows from the frequency formula

$$\omega_n = \sqrt{\left(\omega_n^{(0)}\right)^2 - \alpha_n^2}$$

where

$\omega_n^{(0)}$  is frequency in absence of attenuation, i.e.,  $\omega_n = n \frac{\pi}{L} \sqrt{\frac{C_{\text{iso}}}{\rho}}$

and  $\alpha_n := \frac{1}{2\rho} \left(\frac{n\pi}{L}\right)^2 \eta_{ijkl}$  is attenuation for the n-th resonance.

# Consider both internal friction and texture

$$\mathbf{T} = \mathbb{C}[E] + \eta[D]$$

$\mathbf{T}$  = Cauchy Stress

$\mathbb{C}$  = 4th order elasticity tensor,  $E$  = infinitesimal strain

Assume  $\mathbb{C}$  enjoys major and minor symmetries.

$\eta$  = 4th order effective viscosity tensor,  $D$  = stretching tensor

$$\begin{aligned}\mathbb{C}(w) &= \mathbb{C}(w_{\text{isotropic}}) + \mathbb{C}'(w - w_{\text{isotropic}}) \\ \mathbb{C}(w)[E] &= \lambda \text{tr}(E)\mathbb{I} + 2\mu E + \beta \Phi[E]\end{aligned}$$

where  $\lambda$  and  $\mu$  are the Lamé constants,  
 $\beta$  is a material constant, and  $\Phi$  is a 4-th order tensor.

Furthermore, assume  $u_i(z, t) = \frac{1}{\text{area}(D)} \int_D u_i(x_1, x_2, z, t) dA$ .

## Details of Constitutive Relationship

In components,

$$\mathbb{C}(w)[E] = \lambda \text{tr}(E)\mathbb{I} + 2\mu E + \beta \Phi[E]$$

becomes  $C_{ijkl} = \lambda \delta_{ij} \delta_{kl} + \mu (\delta_{ik} \delta_{jl} + \delta_{il} \delta_{jk}) + \alpha \Phi_{ijkl}$

where  $\Phi_{1122} = W_{400} - \sqrt{70}W_{440}$ ,  $\Phi_{1133} = -4W_{400} + 2\sqrt{10}W_{420}$ ,  
and  $\Phi_{2233} = -4W_{400} - 2\sqrt{10}W_{420}$ .

The  $W_{\ell mn}$  are coefficients in this expansion of the Orientation Distribution Function  $w$ :

$$w(\psi, \theta, \phi) = \sum_{\ell=0}^{\infty} \sum_{m=-\ell}^{\ell} \sum_{n=-\ell}^{\ell} W_{\ell mn} Z_{\ell mn}(\cos \theta) e^{-im\psi} e^{-in\phi}$$

where we follow Roe's convention [1960's] here.

- Let the  $W_{\ell mn}$  coefficients vary through the thickness  $z$

# Texture and Internal Friction

## Texture coefficients vary through the thickness

$$\mathbb{C}(w(z)) = \mathbb{C}(w_{\text{isotropic}}) + \mathbb{C}'(w(z) - w_{\text{isotropic}})$$

- Equation of Motion

$$\rho \frac{\partial^2 u_i}{\partial t^2} = \frac{\partial}{\partial z} \left( C_{ijk\ell}(z) \frac{\partial u_i}{\partial z} + \eta_{ijk\ell} \frac{\partial^2 u_i}{\partial z \partial t} \right)$$

with initial/boundary values

$$\frac{\partial u_i}{\partial z}(0, t) = \frac{\partial u_i}{\partial z}(L, t) = 0, \quad u_i(z, 0) = g_i(z), \quad \frac{\partial u_i}{\partial t}(z, 0) = v_i(z)$$

# Equations of Motion

Exercising weakly textured assumption:

$$\mathbb{C}(w(z)) = \mathbb{C}_{\text{iso}} + \varepsilon \mathbb{C}_{\text{tex}}(z)$$

## Sheet with internal friction and inhomogeneous texture

For each wave mode  $i = 1, 2, 3$ ,

$$\rho \frac{\partial^2 u}{\partial t^2} = \frac{\partial}{\partial z} \left( C_{\text{iso}} \frac{\partial u}{\partial z} + \eta \frac{\partial^2 u}{\partial z \partial t} \right) + \varepsilon \frac{\partial}{\partial z} \left( C_{\text{tex}}(z) \frac{\partial u}{\partial z} \right)$$

with initial/boundary values

$$\frac{\partial u}{\partial z}(0, t) = \frac{\partial u}{\partial z}(L, t) = 0, \quad u(z, 0) = g(z), \quad \frac{\partial u}{\partial t}(z, 0) = v(z)$$

# A Perturbation Scheme

For each wave mode  $i = 1, 2, 3$ ,

$$\rho \frac{\partial^2 u}{\partial t^2} = \frac{\partial}{\partial z} \left( C_{\text{iso}} \frac{\partial u}{\partial z} + \eta \frac{\partial^2 u}{\partial z \partial t} \right) + \varepsilon \frac{\partial}{\partial z} \left( C_{\text{tex}}(z) \frac{\partial u}{\partial z} \right)$$

can be thought of as

$$\rho \frac{\partial^2 u}{\partial t^2} = \mathcal{A} u + \varepsilon \mathcal{C} u.$$

## Perturbation Scheme (continued)

Writing  $u = u^{(0)} + \varepsilon u^{(1)} + \varepsilon^2 u^{(2)} + \dots$ , then

- ①  $u^{(0)}$  satisfies  $\rho \frac{\partial^2 u^{(0)}}{\partial t^2} = \mathcal{A} u^{(0)}$  and
- ②  $u^{(1)}$  satisfies  $\rho \frac{\partial^2 u^{(1)}}{\partial t^2} = \mathcal{A} u^{(1)} + \varepsilon \mathcal{C} u^{(0)}$ ,
- ③  $u^{(2)}$  satisfies  $\rho \frac{\partial^2 u^{(2)}}{\partial t^2} = \mathcal{A} u^{(2)} + \varepsilon \mathcal{C} u^{(1)}$ ,
- ④  $\dots$ , etc.

with appropriate boundary conditions:

- ①  $\frac{\partial u^{(0)}}{\partial z}(0, t) = \frac{\partial u^{(0)}}{\partial z}(L, t) = 0, u^{(0)}(z, 0) = f(z), \frac{\partial u^{(0)}}{\partial t}(z, 0) = g(z),$
- ②  $\frac{\partial u^{(1)}}{\partial z}(0, t) = \frac{\partial u^{(1)}}{\partial z}(L, t) = 0, \quad u^{(1)}(z, 0) = 0, \quad \frac{\partial u^{(1)}}{\partial t}(z, 0) = 0,$
- ③  $\frac{\partial u^{(2)}}{\partial z}(0, t) = \frac{\partial u^{(2)}}{\partial z}(L, t) = 0, \quad u^{(2)}(z, 0) = 0, \quad \frac{\partial u^{(2)}}{\partial t}(z, 0) = 0,$
- ④  $\dots$ , etc.

# Resonance Shifts

## Inhomogeneous Texture and Homogeneous Viscosity

$$\omega_n = \sqrt{\left(\omega_n^{(0)}\right)^2 - \alpha_n^2} + \varepsilon \frac{-1}{\rho L \sqrt{\left(\omega_n^{(0)}\right)^2 - \alpha_n^2}} \int_0^L \cos \frac{n\pi z}{L} \frac{d}{dz} \left( C_{\text{tex}}(z) \frac{d}{dz} \cos \frac{n\pi z}{L} \right) dz$$

$\omega_n^{(0)}$  is frequency in absence of attenuation  
and  $\alpha_n$  is the attenuation

# Resonance Shifts

## Inhomogeneous Texture and Homogeneous Viscosity

If  $\alpha_n$  is small

$$\omega_n = \omega_n^{(0)} - \frac{\alpha_n^2}{2\omega_n^{(0)}} + \varepsilon \frac{-1}{\rho L \omega_n^{(0)}} \int_0^L \cos \frac{n\pi z}{L} \frac{d}{dz} \left( C_{\text{tex}}(z) \frac{d}{dz} \cos \frac{n\pi z}{L} \right) dz$$

Model  $C_{\text{tex}}$  as symmetric about  $z = L/2$ , then the frequency shift can be modeled as follows:

$$\frac{f_n}{n} - f_0 = -L \sqrt{\frac{\rho}{C_{\text{iso}}}} \left( \frac{\alpha_n}{2n\pi} \right)^2 - \varepsilon \frac{1}{2L^2 \rho} \sqrt{\frac{\rho}{C_{\text{iso}}}} \int_0^{L/2} C_{\text{tex}}(z) \cos \frac{2n\pi z}{L} dz$$

$$\text{where } \omega_n = 2\pi f_n \text{ and } f_0 := \frac{1}{2L} \sqrt{\frac{C_{\text{iso}}}{\rho}}.$$

# Resonance Shifts (con't)

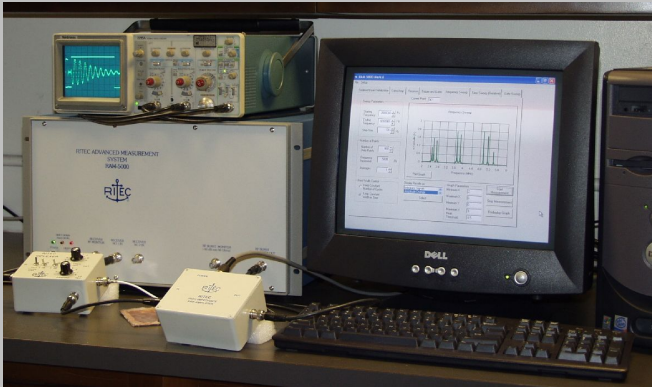
## A Resonance Shift Formula

$$\left( \frac{f_n^{(S1)}}{n} - f_0^{(S1)} \right) + \left( \frac{f_n^{(S2)}}{n} - f_0^{(S2)} \right) =$$
$$\frac{-1}{8\bar{f}_0(n\pi)^2} \left( (\alpha_n^{(S1)})^2 + (\alpha_n^{(S2)})^2 \right) + \frac{2\beta\bar{f}_0}{n^2\pi^2\mu} \left( b + \frac{a}{2} - \frac{3a}{n^2\pi^2} \right)$$

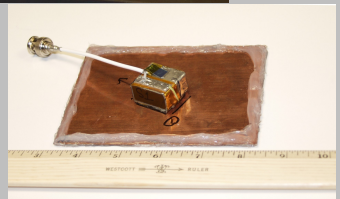
Experiment to check the resonance shift formulas.

- Using model  $W_{400}(z) = a \left( \frac{z}{L} - \frac{1}{2} \right)^4 + b \left( \frac{z}{L} - \frac{1}{2} \right)^2 + c$ .
- This formula requires surface texture to be known.
- Measure  $f_n^{(S1)}$ ,  $f_n^{(S2)}$ , and high resonances to determine  $f_0$ .
- Use  $\beta/\mu = -3.929$  per Huang and Man [2003].

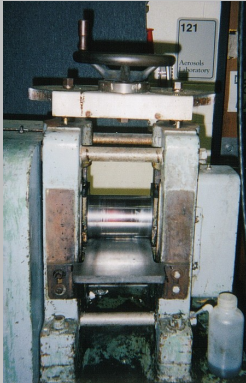
# Experiments



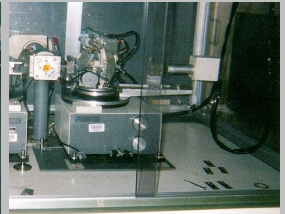
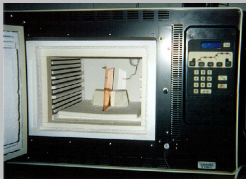
- Measurements were made on C11000 copper (ETP) with Ritec RAM-5000 system
- In-house EMATs constructed for experiments



# Sample Preparation

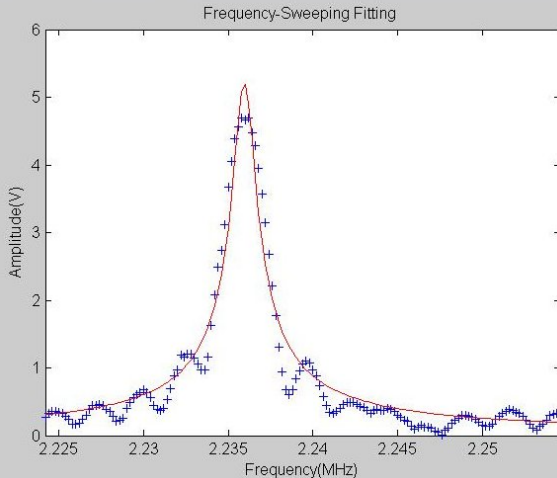


- ① As received sample cold-rolled to  $\approx 0.86$  mm (95% thickness reduction)
- ② Annealed for 30 minutes at  $600^{\circ}$  F.
- ③ XRD measurements were taken at surface,  $\frac{1}{4}$  depth, and  $\frac{1}{2}$  depth as reference values.



# Attenuation Recovery

A Lorentz Line Shape function  $|A|^2 = \frac{c}{\alpha^2 + 4\pi^2(f - \tilde{f})^2}$  is fitted to each measured resonance.



$A$  = Amplitude  
 $c$  = constant,  
 $\alpha$  = attenuation,  
 $f$  = frequency, and  
 $\tilde{f}$  = resonance  
frequency

## Using the resonance shift formula

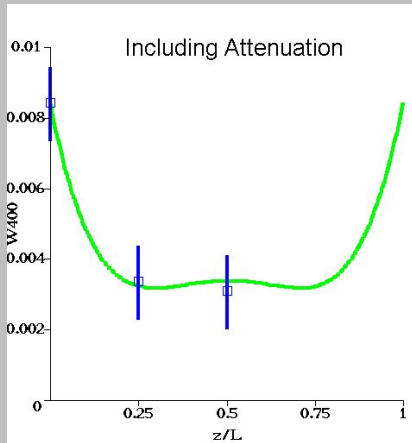
$$\left( \frac{f_n^{(S1)}}{n} - f_0^{(S1)} \right) + \left( \frac{f_n^{(S2)}}{n} - f_0^{(S2)} \right) =$$

$$\frac{-1}{8\bar{f}_0(n\pi)^2} \left( (\alpha_n^{(S1)})^2 + (\alpha_n^{(S2)})^2 \right) + \frac{2\beta\bar{f}_0}{n^2\pi^2\mu} \left( b + \frac{a}{2} - \frac{3a}{n^2\pi^2} \right)$$

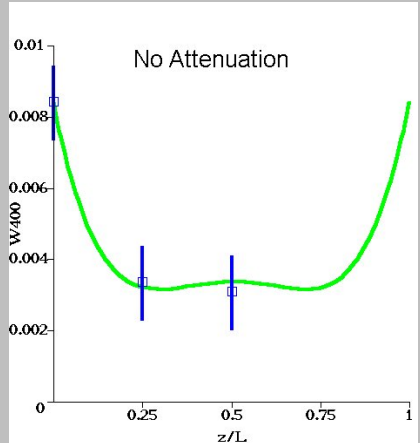
- Using model  $W_{400}(z) = a\left(\frac{z}{L} - \frac{1}{2}\right)^4 + b\left(\frac{z}{L} - \frac{1}{2}\right)^2 + c$ .
- This formula requires surface texture to be known.

# Results

Attenuation effects not significant

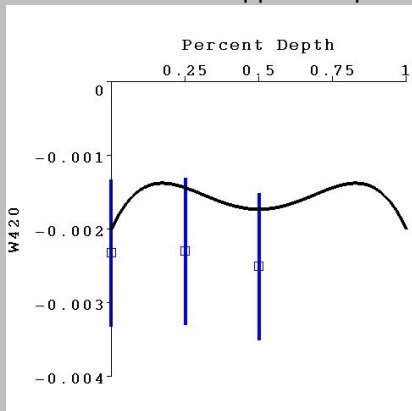


$$W_{400}(z) = 0.12039 \left(\frac{z}{L} - \frac{1}{2}\right)^4 - 0.01012 \left(\frac{z}{L} - \frac{1}{2}\right)^2 + 0.00344$$



$$W_{400}(z) = 0.12070 \left(\frac{z}{L} - \frac{1}{2}\right)^4 - 0.01013 \left(\frac{z}{L} - \frac{1}{2}\right)^2 + 0.00342$$

Another C11000 copper sample:



- More experiments needed

Small texture gradient

# Conclusions and Further Work

- Experimental data is consistent with formula for resonance shifts for these particular samples.
- When texture gradient is strong and attenuation small, theory may be useful to detect through-thickness texture gradients.

Present theory:  $\alpha_n \propto f_n^2 \rightarrow$  Future experimental considerations:

- Frequency range of these experiments too low for attenuation to be a factor.
- Need measurements at higher frequencies to verify the resonance shift formulas in presence of large attenuation.

Further theoretical considerations:

- Portion of theory on ultrasonic attenuation needs improvement to allow for effects of grain scattering.
- Perhaps a non-linear theory for attenuation is more appropriate.

# Acknowledgements

## NRC

This research was performed while Leigh Noble held a National Research Council Research Associateship Award at the United States Military Academy and the Army Research Lab.

## SNP Organizers

A special thank you to the conference organizers who arranged for travel support to attend this conference.

# References



Man, Cai, Donohue, Fei

Anisotropic Ultrasonic Attenuation in an AA 5754 Aluminum Hot Band.

*Aluminum Wrought Products for Automotive, Packaging, and Other Applications*, TMS, 2006.



Huang and Man

Constitutive relation of elastic polycrystal with quadratic texture dependence.

*J of Elasticity*, 72(1):183–212, January 2003.



Noble, Man, Nakamura

Recovery of through-thickness texture profiles in sheet metals by resonance spectroscopy.

*Review of Progress in Quantitative Nondestructive Evaluation*, vol 23:1232–1239, AIP 2004.